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JUL 2 2 2003

**GROUP 3600** 

City	State Zip: Washington, D.C., 20231	Fax: (703) 308-3687	
Street Address:		Phone:	
Firm:	United States Patent and Trademark Office	Office Date / Time: July 21, 2003	
To:	Examiner G. Akers Group Art Unit 3624	Re: 09/197,908 Status Request	

### PRIVACY AND CONFIDENTIALITY NOTICE

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#### Message:

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Status Request, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Newmrkt-P1-98

Date: July 21, 2003

Peter K Trzyna (Reg No. 32.601)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

Anthony F. Herbst and Wayne F. Perg

Serial No.

09/197,908

Filed

November 23, 1998

For

DIGITAL COMPUTER SYSTEM AND METHODS FOR

MANAGING AN AUCTION MARKET FOR PREFERRED-

RETURN SECURITIES

**Group Art Unit** 

3624

Examiner

Akers, Geoffrey R.

**FAX RECEIVED** 

OFFICIAL

Honorable Commissioner of Patents and Trademarks

JUL 2 2 2003

Washington, D.C. 20231

### **STATUS REQUEST**

**GROUP 3600** 

SIR:

The undersigned attorney, and attorney of record for the above-captioned application, respectfully requests that he be advised of the present status of the above-captioned application.

The Commissioner is reminded that all correspondence in the above-referenced matter should be sent to the address given below.

Respectfully Submitted,

Date: July 21, 2003

Peter K. Trzyna

P. O. Box 7131

(Reg. No. 32,601)

Chicago, Illinois 60680-7131 (312) 240-0824

I hereby certify that this correspondence is being filed by tacsimile with a contirmation copy being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Status Request, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below

**PATENT** 

Paper No.

File: Newmrkt

OFFICIAL

Date: July 21, 2003

ped: Peter K. Trzyna (Reg. No. 32.601)

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**Group Art Unit** 

3624

Examiner

Akers, Geoffrey R.

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

#### TRANSMITTAL LETTER

SIR:

Please record the following enclosed documents in the above-identified patent application.

1. Status Request.

authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: July 21, 2003

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824